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PTO/SB/21 (6-98)

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

<b>TRANSMITTAL FORM</b>		TO Application Number	09/440,529
		Filing Date	November 15, 1999
		First Named Inventor	Pitroda, et al.
		Group Art Unit	2876
		Examiner Name	M. Tremblay
Total Number of Pages in This Submission	27	Attorney Docket Number	2683-76979

### ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment/Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notices, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Return Receipt Postcard
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	<input type="checkbox"/> Amended Claims Pursuant To § 1.121(C)(1)(ii) (12 pgs.)
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		TITLE OF INVENTION: POINT OF SALE ADAPTER FOR ELECTRONIC TRANSACTION DEVICE

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	Walter J. Kawula, Jr., Esq. WELSH & KATZ, LTD.
Signature	
Date	4/23/2001

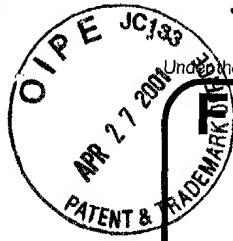
### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope Addressed to: Commissioner for Patents, Washington, DC 20231 on this date:	
April 23, 2001	

Typed or Printed Name	Walter J. Kawula, Jr.
Signature	
Date	4/23/2001
April 23, 2001	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Office, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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# Fee Transmittal for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

\$55.00

## Complete if Known

Application Number	09/440,529
Filing Date	November 15, 1999
First Named Inventor	Pitroda, et al
Examiner Name	M. Tremblay
Group Art Unit	2876
Attorney Docket No.	2683-76979

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **23-0920**

Deposit Account Name **Welsh & Katz, Ltd.**

Charge Any Additional Fee Required  
Under 37 CFR §§ 1.16 and 1.17

Applicant claims small entity status.  
See 37 CFR § 1.27

2.  Payment Enclosed:

Check  Credit card  Money Order  Other

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
105	130	205 65 Surcharge - late filing fee or oath	
127	50	227 25 Surcharge - late provisional filing fee or cover sheet	
139	130	139 130 Non - English specification	
147	2,520	147 2,520 For filing a request for ex parte reexamination	
112	920*	112 920* Requesting publication of SIR prior to Examiner action	
113	1,840*	113 1,840* Requesting publication of SIR after Examiner action	
115	110	215 55 Extension for reply within first month	
116	390	216 195 Extension for reply within second month	
117	890	217 445 Extension for reply within third month	
118	1,390	218 695 Extension for reply within fourth month	
128	1,890	228 945 Extension for reply within fifth month	
119	310	219 155 Notice of Appeal	
120	310	220 155 Filing a brief in support of an appeal	
121	270	221 135 Request for oral hearing	
138	1,510	138 1,510 Petition to institute a public use proceeding	
140	110	240 55 Petition to revive - unavoidable	
141	1,240	241 620 Petition to revive - unintentional	
142	1,240	242 620 Utility issue fee (or reissue)	
143	440	243 220 Design issue fee	
144	600	244 300 Plant issue fee	
122	130	122 130 Petitions to the Commissioner	
123	50	123 50 Processing fee under 37 CFR § 1.17(q)	
126	180	126 180 Submission of Information Disclosure Statement	
581	40	581 40 Recording each patent assignment per property (times number of properties)	
146	710	246 355 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249 355 For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279 355 Request for Continued Examination (RCE)	
169	900	169 900 Request for expedited examination of a design application	
Other fee (specify) _____			

## FEE CALCULATION

## 1. BASIC FILING FEE

## Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101	710	201 355 Utility filing fee	
106	320	206 160 Design filing fee	
107	490	207 245 Plant filing fee	
108	710	208 355 Reissue filing fee	
114	150	214 75 Provisional filing fee	
SUBTOTAL (1)			

## 2. EXTRA CLAIM FEES

Extra Claims	Fee from below	Fee Paid
Total Claims	-20** = 0 X 0 = 0.00	
Independent Claims	- 3** = 0 X 0 = 0.00	
Multiple Dependent		

## Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description
103	18	203 9 Claims in excess of 20
102	80	202 40 Independent claims in excess of 3
104	270	204 135 Multiple dependent claim, if not paid
109	80	209 40 ** Reissue independent claims over original patent
110	18	210 9 ** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		

\$0.00

\*\*or number previously paid, if greater; For Reissues, see above

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

\$55.00

## SUBMITTED BY

## Complete (if applicable)

Name (Print/Type)	Walter J. Kawula, Jr., Esq.	Registration No. (Attorney/Agent)	39,724	Telephone	(312) 655-1500
Signature	<i>Walter J. Kawula</i>			Date	4/23/2001

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#7/A  
Tokon  
5/5/04

Date: April 23, 2001  
File No.: 2683-76979

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Pitroda et al.

Group No.: 2876

Serial No.: 09/440,529

Batch No.

Filed: November 15, 1999

Examiner: M. Tremblay

For: POINT OF SALE ADAPTER FOR  
ELECTRONIC TRANSACTION  
DEVICE

CERTIFICATE OF MAILING

I hereby certify that his paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Amendment-Fee, Commissioner for Patents, Washington, DC 20231, on this date:

4/23/2001 *Abdullah Al-Kawas*  
Date Registration No.: 39,724  
Attorney for Applicant(s)

Reply Pursuant To 37 C.F.R. §1.111

Box Amendment-Fee  
Commissioner For Patents  
Washington, D.C. 20231

Sir:

This is in reply to the Office Action mailed December 21, 2001 in the above referenced application. Applicants respectfully request reconsideration or further examination.

Please enter the amended claims as indicated below.

1. (Amended) An adapter for use with point of sale card readers, the adapter comprising:

- a housing, including at least a reader-insertable portion capable of being inserted in the card reader;
- a receive circuit in the housing, the receive circuit adapted to receive information from an electronic transaction device;
- a processor in the housing connected to the receive circuit; and
- a point of sale interface in the reader insertable portion of the housing connected to the processor, the point of sale interface adapted to transmit information received from the electronic transaction device.

A  
Gibson